



Original research

Investigation of CRISPR/Cas9-induced *SD1* rice mutants highlights the importance of molecular characterization in plant molecular breedingSukumar Biswas^a, Jiaqi Tian^a, Rong Li^a, Xiaofei Chen^a, Zhijing Luo^a, Mingjiao Chen^a, Xiangxiang Zhao^b, Dabing Zhang^{a,b}, Staffan Persson^{a,c}, Zheng Yuan^{a,b,*}, Jianxin Shi^{a,*}^a J. Genet. Genom., R. Li, L. S., M. & D. S., S. J. T. U., -U. A. J. C. A. H., S. K. L. H. R., S. L. S. B., S. J. T. U., S., 200240, C.^b K. L. C. M. -A. B. H. M., J. C. I. C. R. M. A. E. P., H., 223300, C.^c S. B., U. M., P. VIC, 3010, M., A.

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ABSTRACT

Although Clustered Regularly Interspaced Short Palindromic Repeats (CRISPR)/CRISPR-associated 9 (Cas9) system has been widely used for basic research in model plants, its application for applied breeding in crops has faced strong regulatory obstacles, due mainly to a poor understanding of the authentic output of this system, particularly in higher generations. In this study, different from any previous studies, we investigated in detail the molecular characteristics and production performance of CRISPR/Cas9-generated *SD1* () mutants from T₂ to T₄ generations, of which the selection of T₁ and T₂ was done only by visual phenotyping for semidwarf plants. Our data revealed not only on- and off-target mutations with small or larger indels but also exogenous elements in T₂ plants. All indel mutants passed stably to T₃ or T₄ without additional modifications independent on the presence of Cas9, while some lines displayed unexpected hereditary patterns of Cas9 or some exogenous elements. In addition, effects of various *SD1* alleles on rice height and yield differed depending on genetic backgrounds. Taken together, our data showed that the CRISPR/Cas9 system is effective in producing homozygous mutants for functional analysis, but it may be not as precise as expected in rice, and that early and accurate molecular characterization and screening must be carried out for generations before transition of the CRISPR/Cas9 system from laboratory to field.

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1. Introduction

Genetically modified (GM) crops via *A*-mediated T-DNA transfer have contributed greatly to agronomic, environmental, economic, health, and social benefits for farmers and consumers (ISAAA, 2019). However, disputes on food and environmental safety of GM crops have been steadily increasing (Huang, 2017). Therefore, many countries have developed regulatory systems for risk assessment and management of GM crops. While these regulatory systems are fully implemented, current GM regulatory frameworks increase not only the uncertainty but also

the cost of GM crop development. Because homologous recombination via *A*-mediated T-DNA transfer in plants is typically not possible (Araki and Ishii, 2015), the identification of T-DNA inserts and other mutations is labor-intensive and time-consuming. New plant breeding techniques including genome editing offer opportunities for crop improvement without maintaining a transgene (Zhang et al., 2018b) and also bring about different challenges regarding the regulation and social acceptance of these new crop products (Araki and Ishii, 2015; Schaeffer and Nakata, 2015; Gao et al., 2018).

Genome editing systems use site-specific nucleases to introduce precisely targeted double-strand breaks (DSBs), while the desired modifications are subsequently obtained by endogenous DSB repair machinery. The site-specific nucleases include zinc finger nucleases (ZFNs), transcription activator–like effector nucleases (TALENs),

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and Clustered Regularly Interspaced Short Palindromic Repeats (CRISPR)/CRISPR-associated 9 (Cas9) (Lusser et al., 2012; Zhu et al., 2017). Among these, the CRISPR/Cas9 system has proven superior in specificity and precision and can modify single or multiple genes in plants (Feng et al., 2014, 2016; Xie et al., 2015; Ishizaki, 2016; Zong et al., 2017). In contrast with traditional GM techniques that rely on random recombination or integration, the CRISPR/Cas9 editing system is considered target specific and precise (Lusser et al., 2012). CRISPR/Cas9 nuclease cuts genomic target guided by a single guide RNA (sgRNA), the created DSBs are repaired by an error-prone nonhomologous end joining repair mechanism that can lead to indel (insertion-deletion) mutations in the absence of an exogenous DNA donor (Feng et al., 2014; Zhu et al., 2017). Therefore, the CRISPR/Cas9 system may facilitate crop improvement via possible escape from GM regulation because mutants generated by the CRISPR/Cas9 system do not necessarily contain any exogenous DNA insertions (Feng et al., 2014; Jones, 2015; Li et al., 2017; Wolter and Puchta, 2017; Zhu et al., 2017; Gao et al., 2018). On the other hand, if products obtained from mutagenesis intentionally and specifically alter the genetic materials of an organism in a way that does not occur naturally, they can, in principal, be subject to current GM regulation (Araki and Ishii, 2015; Court of Justice of the European Union, 2018). Hence, although CRISPR/Cas9 systems open up a new opportunity for quick and precise modification of crops, for example, to boost productivity (Jones, 2015; Li et al., 2017), to protect against pests and diseases (Wang et al., 2014), and to enhance nutrient content (Liang et al., 2014), the application of CRISPR/Cas9 in crop improvement has raised increasing concerns about the safety of its products. This highlights the needs for molecular characterization of CRISPR/Cas9 mutants.

Most molecular characterizations of CRISPR/Cas9-generated mutations in plants have focused mainly on the patterns and segregation of the targeted gene modifications either in transient systems or in early generations of stable transformants (Feng et al., 2014; Wang et al., 2014; Zhang et al., 2014; Zhou et al., 2014; Zhu et al., 2017). Much less is known about molecular characteristics in subsequent generations. In Arabidopsis, CRISPR/Cas9 is very effective in targeted gene mutagenesis (dominated by small indels), resulting homozygous lines are stable for the mutations in subsequent generations, and off-target mutations are rare (Feng et al., 2014). In rice, the stability and off-target sites of CRISPR/Cas9 editing are largely unknown, although there were several reports

Table 1
Signatures and segregations of CRISPR/Cas9-induced SD1 mutants in rice.

Line	Background	T ₂			T ₃		T ₄	
		Signature	Target genotype	Cas9	Mutation segregation	Cas9	Mutation segregation	Cas9
Q10	9815B	Homozygote	i1/i1	+	nt	nt	nt	nt
Q11	9815B	Homozygote	d7/d7	+	nt	nt	nt	nt
Q13	9815B	Homozygote	dL/dL	+	nt	nt	nt	nt
Q14	9815B	Homozygote	d7/d7	+	nt	nt	nt	nt
Q16	9815B	Heterozygote	d1/WT	+	nt	nt	nt	nt
Q18	9815B	Homozygote	i1/i1	+	10i1/i1	10+	10i1/i1	2+/8–
Q21	9815B	Heterozygote	i1/WT	+	3i1/i1:5h:2WT	8+/2–	10i1/i1	10–
Q23	9815B	Homozygote	d24/d24	+	10d24/d24	10+	10d24/d24	1+/9–
Q26	9815B	Homozygote	i1/i1	–	10i1/i1	10–	nt	nt
Q27	9815B	Homozygote	d257	+	10d257	10+	10d257	2+/8–
Q30	9815B	Homozygote	i1/i1	+	10i1/i1	9+/1–	10i1/i1	10–
Q31	9815B	Homozygote	d63/d63	+	10d63/d63	7+/3–	10d63/d63	10–
Q34	9815B	Homozygote	d4/d4	+	10d4/d4	10–	nt	nt
Q36	9815B	Homozygote	d7/d7	–	10d7/d7	10–	nt	nt
Q41	9815B	Chimera	d263,i194,r1	+	10d263/i194/r1	9+/1–	10d263/i194/r1	10–
Q46	JIAODA138	Homozygote	i1/i1	–	10i1/i1	10–	nt	nt
Q48	JIAODA138	Homozygote	i1/i1	–	10i1/i1	10–	10i1/i1	10–
Q56	JIAODA138	Homozygote	i1/i1	+	10i1/i1	6+/4–	10i1/i1	10–
Q60	JIAODA138	Homozygote	i1/i1	+	10i1/i1	4+/6–	10i1/i1	10–
Q62	JIAODA138	Biallele	r1/i1	+	2r1/r1:5r1/i1:3i1/i1	6+/4–	10i1/i1	10–
Q71	HUAIDAO1055	Homozygote	i5/i5	–	10i5/i5	5+/5–	10i5/i5	10–
Q73	HUAIDAO1055	Homozygote	d7/d7	+	10d7/d7	10–	10d7/d7	10–
Q74	HUAIDAO1055	Biallele	r1/i1	+	3r1/r1:5r1/i1:2i1/i1	7+/3–	10i1/i1	10–
Q76	HUAIDAO1055	Homozygote	d1/d1	–	10d1/d1	10–	10d1/d1	10–
Q79	HUAIDAO1055	Homozygote	d19/d19	+	nt	nt	nt	nt
Q86	HUAIDAO1055	Chimera	d3,i1,r3	+	nt	nt	nt	nt
Q89	HUAIDAO1055	Homozygote	d63/d63	+	nt	nt	nt	nt
Q97	HUAIDAO1055	Homozygote	d2/d2	+	nt	nt	nt	nt
Q103	HUAIDAO1055	Chimera	d382,i1,r1	+	nt	nt	nt	nt
Q107	HUAIDAO1055	Chimera	d382,i1,r1	+	nt	nt	nt	nt
Q115	HUAIDAO1055	Chimera	WT,d382,i1	+	nt	nt	nt	nt

+, Cas9 detected; –, Cas9 not detected; nt, not tested. d#, deletion with # bp; i#, insertion with # bp; r#, replacement of # bp; h, heterozygous; WT, wild-type; #d, #i, #r, #+, #–, number of lines with identified deletion, insertion, replacement, presence of, and absence of Cas9, respectively.

one mutant (Q41) contained a large insertion (194 bp) in addition to a large deletion (257 bp); this large insertion was actually a rearrangement of a 194-bp fragment of *SD1* upstream of the PAM (Fig. 1B). Therefore, although Q41 had the same length of deletion as that of Q27, the PCR products of Q41 were larger than those of Q27 (Fig. S2B). These data reflected again the complex outputs of CRISPR/Cas9 in rice.

In addition, mutants on different elite backgrounds differed in their genotype complexities. For example, CRISPR/Cas9-edited *SD1* in 9815B and HUAIDAO1055 appeared relatively more complicated than those in JIAODA138 (Table 1). While it is difficult to explain, this result highlighted genotype-specific modification through the introduction of the CRISPR/Cas9 system.

2.3. Signatures of CRISPR/Cas9-edited *SD1* in 31 T₂ mutants

The CRISPR/Cas9 system may also generate off-target mutation as it tolerates up to three mismatches between the sgRNA and the target (Zhu et al., 2017; Wu and Yin, 2019). To assess if such off-targets occurred in our *SD1* lines, we screened possible off-target mutations for the five highest scoring targets from the CRISPR-P software (Liu et al., 2017) using genomic DNA extracted from the 31 T₂ mutants. We found off-target mutations in three of 31 T₂ mutants (Fig. 1C). Among them, Q115 had two off-targets: off-target 5 with a “C” instead of an “A” at the 7th position, and off-target 2 with a “G” instead of an “A” at the 6th position immediately upstream of the PAM, while Q103 and Q107 both had the same off-target 5 as that of Q115 (Fig. 1C). The two off-targets were found

in three independently transformed lines, while no such mutation was found in the corresponding wild type.

2.4. Mutations of *SD1* in 180 T₃ plants from 18 T₂ homozygous lines

Because CRISPR/Cas9 systems were transferred to rice via conventional *A*...-mediated transformation, the possible existence of exogenous T-DNA elements was further investigated. Of all 31 T₂ lines examined (Table 2), most of them (25 of 31) contained simultaneously three exogenous T-DNA elements, namely, NOS, CaMV35S, and HPT. Among them, four lines in 9815B background contained additional exogenous T-DNA element LacZ. Other exogenous T-DNA elements examined, including NPTII, F1 fragment, and pBIN, were found to be absent in all T₂ mutants. Notably, these 25 T₂ lines that contained exogenous T-DNA elements also harbored Cas9 in their genomes. This result highlighted the necessity for early molecular screening to get rid of any exogenous elements (including Cas9) in CRISPR/Cas9-edited mutants in rice.

2.5. Genotypes of *SD1* in 180 T₃ plants from 18 T₂ homozygous lines

The inheritability of those CRISPR/Cas9-edited *SD1* mutants was further investigated using identified 19 T₂ lines, including 15 homozygous, two bialleles, one chimera, and one heterozygous (Fig. 1A and Table 1). All detected 180 T₃ plants from 18 T₂ homozygous lines including two bialleles and one chimera (10 plants each line) were homozygous, showing identical genotypes to their

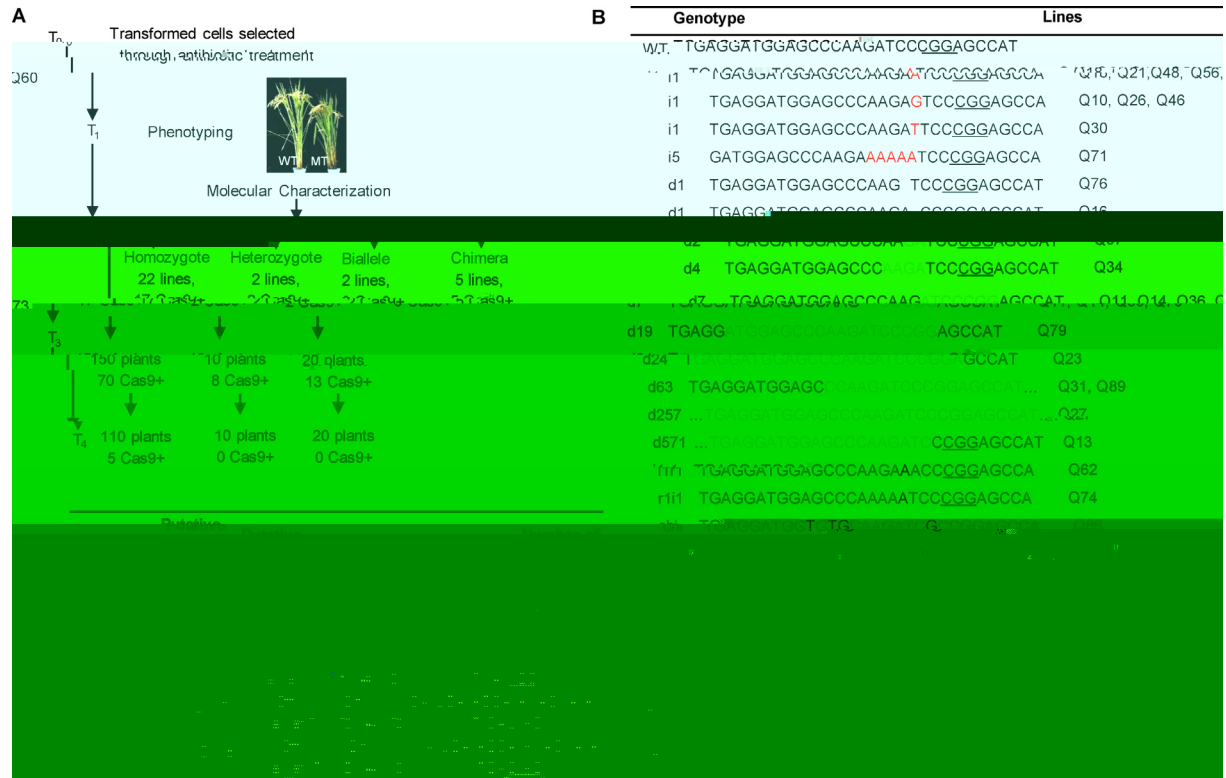


Fig. 1. The molecular characteristics of CRISPR/Cas9-induced *SD1* mutants in rice. **A:** Diagram summary of the experimental design and the final output of CRISPR/Cas9-induced *SD1* mutants. **B:** Sequencing results of the identified 20 genotypes in CRISPR/Cas9-induced *SD1* T_2 plants. WT, wild type; d#, deletion of # bp; i#, insertion of # bp; r#, replacement of # bp; chi, chimera. Ellipsis (...) indicates the occurrence of large chromosomal deletion. **C:** Summary of off-targets detected in *SD1* T_2 mutants.

corresponding T_2 plants, without any extra modifications, no matter if Cas9 was present or absent (Table 1). In addition, the T_2 heterozygous mutant (Q21) segregated in T_3 generation with a homozygous-to-heterozygous-to-wild type ratio of 3:5:2 (close to 1:2:1) without additional genotypes even in the presence of Cas9

(Fig. 1A; Table 1). The segregation patterns of 15 T_3 homozygous lines were further examined in T_4 generation, and the results showed that all of them pass stably from T_3 to T_4 , without any novel modifications, regardless of the presence and absence of Cas9 (Fig. 1A and Table 1). As reported in A ... (Feng et al., 2014),

Table 2
Signatures and segregations of detected exogenous elements in CRISPR/Cas9-induced *SD1* mutants in rice.

Line	T_2				T_3			
	T-DNA element			Vector backbone element	T-DNA element			Vector backbone element
	HPT	35S	NOS	LacZ	HPT	35S	NOS	LacZ
Q18	+	+	+	-	10+	10-	10+	10-
Q21	+	+	+	-	8+/2-	2+/8-	2+/8-	10-
Q23	+	+	+	-	10+	10-	10+	10-
Q26	-	-	-	-	10-	10-	10-	10-
Q27	+	+	+	-	10+	10-	10+	10-
Q30	+	+	+	-	9+/1-	10-	9+/1-	10-
Q31	+	+	+	+	7+/3-	10-	4+/6-	10-
Q34	+	+	+	+	10-	10-	10-	10-
Q36	-	-	-	-	10-	10-	10-	10-
Q41	+	+	+	+	9+/1-	6+/4-	9+/1-	10-
Q46	-	-	-	-	10-	10-	10-	10-
Q48	-	-	-	-	10-	10-	10-	10-
Q56	+	+	+	-	6+/4-	10-	6+/4-	10-
Q60	+	+	+	-	6+/4-	10-	6+/4-	10-
Q62	+	+	+	-	4+/6-	10-	3+/7-	10-
Q71	+	+	+	-	7+/3-	10-	7+/3-	10-
Q73	-	-	-	-	10-	10-	10-	10-
Q74	+	+	+	-	7+/3-	1+/9-	6+/4-	10-
Q76	-	-	-	-	10-	10-	10-	10-

+ and -, presence and absence of detected corresponding exogenous elements, respectively; nt, not tested; #+, #-, numbers of lines with detected exogenous elements; CRISPR, Clustered Regularly Interspaced Short Palindromic Repeats; Cas9, CRISPR-associated 9.

our data indicated that CRISPR/Cas9-edited *SD1* mutation in rice is heritable in a Mendel way, whether it is heterozygous or homozygous, whether with or without Cas9.

2.6. Exogenous element identification in *T₂* plants

To follow the segregation of these detected T-DNA elements and Cas9, we continued our exogenous element identification in *T₃* plants derived from 19 *T₂* lines (including six lines without any exogenous elements, 13 lines carrying T-DNA and Cas9, 10 plants each) (Table 2). *T₃* plants from these six lines without any exogenous elements were free of T-DNA and Cas9. However, although LacZ was totally absent in all 130 *T₃* plants derived from those 13 *T₂* lines carrying T-DNA elements, the presence of any one of NOS, CaMV35S, and HPT was detected in all of them. Notably, the Cas9 could be detected in *T₄* plants of three lines. These results indicated possible multiple copies of T-DNA and Cas9 elements in these lines.

2.7. CRISPR/Cas9-edited *SD1* mutation in rice

The effects of various mutated *SD1* alleles on plant height and yield (grain weight per plant) were investigated in both *T₃* and *T₄* generations. As expected, all mutated *SD1* alleles significantly reduced plant height, and the resulting semidwarf traits passed stably from *T₃* to *T₄* generation. Generally, the effect of mutated *SD1* alleles on plant height was more evident in HUAIDAO1055 but less evident in JIAODA138 (Fig. 2A). Surprisingly, most of mutated *SD1* alleles significantly reduced the yield as well, which also passed stably from *T₃* to *T₄* generation. Generally, the effect of mutated *SD1* alleles on yield was more evident in 9815B and much less evident in JIAODA138 (Fig. 2B).

Incidentally, only one line (Q48 in JIAODA138 background) displayed both consistently decreased plant height and moderately elevated yield (Fig. 2). We noted that this line might be suitable as a future rice breeding line because Q48 was free of either Cas9 or any other tested exogenous elements. This specific allelic mutation resulted in a truncated and novel protein, which only shares the first 41 amino acids with wild-type *SD1* (Fig. S5).

3. Discussion

It is well known that the predominant DSB repair pathway in plants is nonhomologous end joining, tending to generate short indels. In diploid plants, mutants induced by the CRISPR/Cas9 system generally can be either heterozygous (single allelic change), or homozygous (identical changes to both alleles), or biallelic (different changes at each allele) (Zhu et al., 2017). However, signatures occurring in crops still require further exploration because the outcome of the CRISPR/Cas9 system might vary with species, target sites, transformation methods, and CRISPR/Cas9 systems. The signatures of CRISPR/Cas9-induced gene mutations in later A ... generations (*T₂* to *T₃*) have been intensively characterized (Feng et al., 2014; Jiang et al., 2014; Peterson et al., 2016; Wolt et al., 2016; Zhang et al., 2018b). However, data on rice, an important staple food crop, in later generations (*T₁* to *T₂*) are preliminary and limited (Feng et al., 2014; Shan et al., 2014; Zhou et al., 2014; Xu et al., 2015; Ishizaki, 2016; Tang et al., 2018). In addition, little efforts were made on the molecular characteristics of exogenous elements in those CRISPR/Cas9-induced mutants, particularly in crops (Xu et al., 2015), an important issue that is highly associated with food safety (Convention on Biological Diversity, 2000).

3.1. *SD1*

Among all 31 *T₂* samples examined, the mutation pattern seemed to be different from those reported in early generations (Miao et al., 2013; Zhang et al., 2014; Zhou et al., 2014). All *T₂* plants examined were mutants, largely due to the consequence of the selection for semidwarf phenotype, which were dominated by homozygotes including one biallele and five chimeras (Fig. 1A and B). This result confirmed the high efficiency of the CRISPR/Cas9 system in rice mutagenesis. However, CRISPR/Cas9-induced *SD1* signatures were quite different from those as revealed in early-generation A ... (Feng et al., 2014; Jiang et al., 2014; Zhang et al., 2018a), or rice (Mao et al., 2013; Miao et al., 2013; Zhang et al., 2014; Zhou et al., 2014). First, among these 31 semidwarf *T₂* lines, in addition to small indels, namely small insertion (ranging from 1 to 5 bp, 10 of 31) and small deletion (ranging from 1 to 63 bp, 12 of 31), there was a relatively high frequency of large deletions (ranging from 257 to 571 bp, 6 of 31) (Figs. 1B and S4). Second, the edited sites revealed were not as precise as reported. Besides a high frequency of mutations (16 of 31) occurring at the 4th nucleotide position upstream of the PAM, additional one-third mutations (10 of 31) lost the PAM itself (Fig. 1B). Third, Q115 mutant displayed unaffected sgRNA target but a large deletion (382 bp) farther downstream of the sgRNA target. Fourth, in addition to a large deletion, Q41 also had a large rearranged insertion (194 bp). Therefore, our data indicated that the outcome of CRISPR/Cas9-generated mutants varies on species and loci, which needs careful molecular characterization on a case by case base.

Notably, all large deletion mutations detected in *T₂* plants contained Cas9. Because we did not identify the genotype of *T₁* plants, we did not know when large deletion occurred. Large deletion detected in *T₂* could be the consequence of the function of persisted Cas9. However, further characterization of all *T₃* progenies derived from 19 *T₂* lines including large deletion lines with Cas9 (Q27 and Q41) did not find any new modifications in those lines, indicating that the large deletion could occur at earlier generations. Because Q27 and Q41 lacked the sgRNA target (Fig. 1B), we cannot exclude the possible silencing of the Cas9 and/or guide RNA transgenes in *T₂* rice as reported previously (Zhang et al., 2014). Although large deletions were reported in *T₀* (Zhou et al., 2014) or *T₁* (Mao et al., 2013) rice plants using a vector containing two sgRNAs, reports on large deletion in CRISPR/Cas9-induced rice mutants with a single sgRNA are scarce. Data from this study, together with others (Miao et al., 2013), indicated that gene-specific factors affect the outcome of DSB repair and thus the CRISPR/Cas9 system (Zhu et al., 2017), which explained partially the mutation signatures observed in our study. The investigation on the reasons behind the signatures revealed in *SD1* mutants was ongoing, which included different CRISPR/Cas9 systems, different sgRNAs, and same sgRNA targeting different sites. Our preliminary results verified that large deletions including PAM occurred in two of ten *T₁* mutants that were generated using the same CRISPR/Cas9 vector with a different sgRNA targeting the promoter region of the *SD1* (Fig. S6).

3.2. *O*

It is recently reported that the CRISPR-Cas9 system may lead to many unexpected mutations including high frequency of off-targets in several mammal cell lines (Kosicki et al., 2018), which raised serious safety concerns about the safety of the CRISPR/Cas9 system for clinical applications (Mattei, 2018). The off-target beyond the target gene has been carefully examined in plants including A ... , rice, cotton, and tobacco, and results indicated that off-target is rare (Feng et al., 2014; Zhang et al., 2014; Gao et al., 2015; Xu et al., 2015; Tang et al., 2018). Nonetheless, a recent

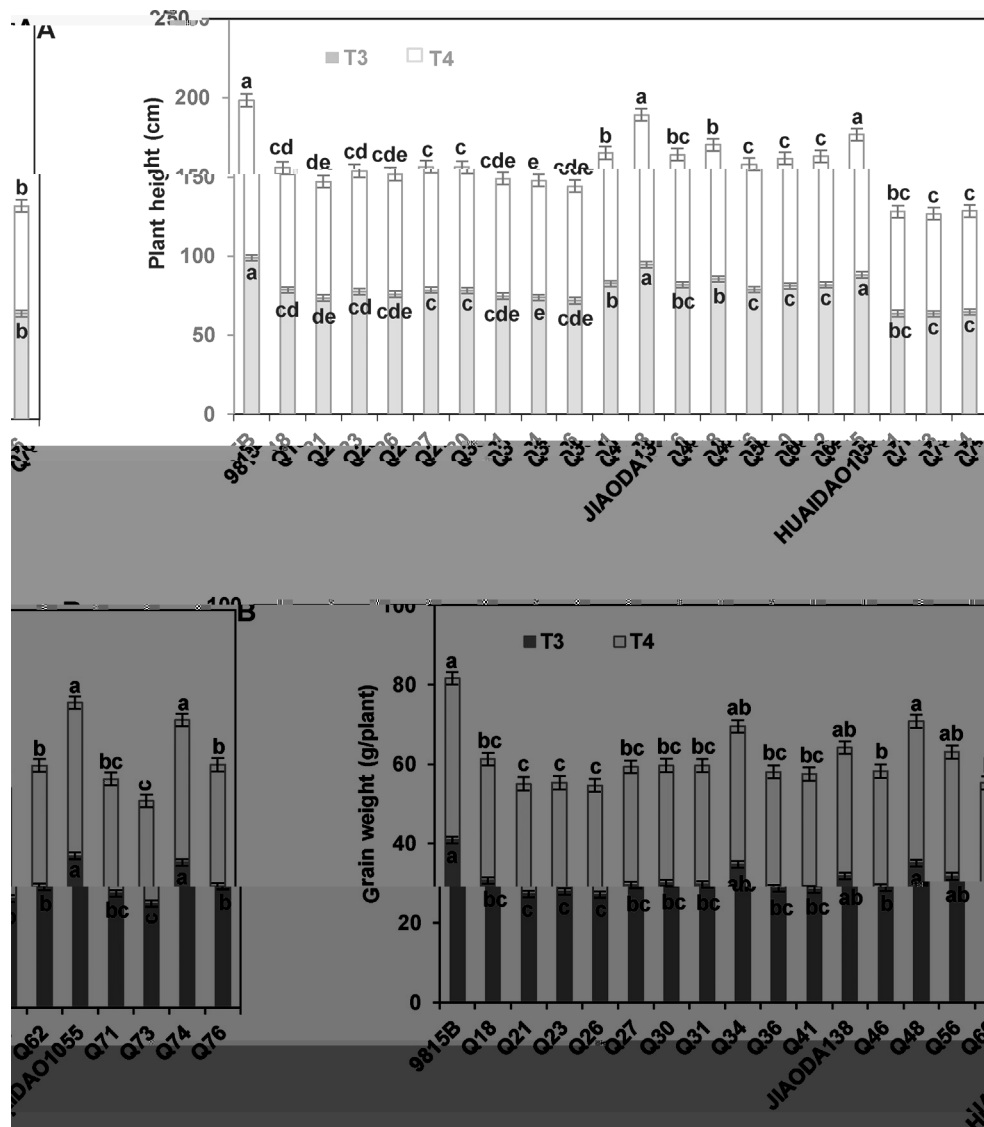


Fig. 2. Effects of mutated *SD1* alleles on plant height and yield in T_3 and T_4 generations. **A:** Height; **B:** Yield. 9815B, JIAODA138, or HUAIDAO1055 represents different genetic backgrounds. Values are mean \pm SD (standard deviation of the mean, $n = 10$). Mean comparison was carried out using XLSTAT 2018 software, with different letters representing significant difference at $P < 0.01$.

study presented evidence that there is unexpected high frequency of off-target mutagenesis in CRISPR/Cas9-induced T_1 mutants, which is further exacerbated in the T_2 progenies (Zhang et al., 2018b). In rice, off-target modifications are detectable, either rare (Xu et al., 2015) or frequent (Endo et al., 2015; Li et al., 2016), in positive T_1 plants. Therefore, the detected four off-target mutations in this study and the mutation that occurred within *SD1*, together with diverse and complex genotypes underlying the same semi-dwarf phenotype, pointed out that outputs of the CRISPR/Cas9 system need to be strictly monitored, and the edited sites must be characterized case by case to avoid unexpected modifications. Nevertheless, those four off-target mutations could result from the spontaneous mutations occurred during the tissue culture, which we did not investigate in this study. Whole-genome sequencing in the future will be useful to draw a conclusion.

3.3. Ethical considerations

The presence of exogenous elements (T-DNA elements and Cas9) in CRISPR/Cas9-induced T_1 mutants in rice can be completely

segregated out in T_2 mutants (Zhou et al., 2014; Xu et al., 2015). Our results did not show the same trend, possibly due to the fact that we did not perform molecular characterization from the T_0 generation, the fact that there might be multiple copies of those exogenous elements in mutant genomes, or the fact that the PCR method used is not good enough to draw a conclusion. Nevertheless, our results highlighted the importance of the early and accurate molecular characterization and screening of these exogenous elements in CRISPR/Cas9-induced mutants. Because the presence of the transgene was found to be concurrent in mutants positive for Cas9 (Tables 1 and 2), the screening for the absence of Cas9 in T_1 could be a crucial measure to eliminate the exogenous elements in CRISPR/Cas9-induced mutants. It is worthy to note that the screening for Cas9 does not exclude the necessity to screen for other exogenous elements. In general, the current GM regulatory system requires a full risk analysis of organisms with exogenous elements; therefore, understanding of uncertainties and risks regarding genome editing is necessary and critical before a new global policy for the new biotechnology is established.

3.4. Field evaluation

Because it is efficient, specific, and flexible, the CRISPR/Cas9 system has been widely used as the preferred genome-editing tool in plants for both basic and applied purpose (Araki and Ishii, 2015; Schaeffer and Nakata, 2015; Wolt, 2017). Our data corroborated the efficiency of the CRISPR/Cas9 system in rice mutagenesis for basic research but also pointed out the difficulty for its application in rice breeding. From a technical point of view, a potential applicable mutant must be inheritable, transgene-free, target-edited, and with desired traits, all affected by multiple factors, which have to be thoroughly characterized.

Although we identified a potential line Q48 with significantly reduced plant height and moderately elevated yield in this study, the mechanism underlying the lack of yield trade-off in Q48 remains uninvestigated. Indeed, all CRISPR/Cas9-induced *SD1* mutants actually tended to significantly reduce the yield along with the reduction in plant height, and this effect apparently was influenced by genetic backgrounds in this case. Therefore, the application of the CRISPR/Cas9 system for successful rice breeding may be a long way than expected.

In this study, we carried out comprehensive molecular characterization on CRISPR/Cas9-induced mutants in later generations (T_2 – T_4) in rice, in a journey to obtain edited alleles for potential enhancement of the production performance of current elite rice cultivars by manipulating the Green Revolution gene *SD1*. For this purpose, all mutants generated on different elite rice cultivar backgrounds at early generations before T_2 were selected by antibiotic (T_0) or phenotyping (T_0 to T_2) instead of molecular characterization, and the output of this study provided a useful basis for reoptimizing initial screening strategies for transgene-clean targeted genome editing in rice.

4. Materials and methods

4.1. Plant materials

The target sequence (GAGGATGGAGCCCAAGATCC) in the first exon of *SD1* gene was amplified with primers SD1sgRNA-F and SD1sgRNA-R (Table S1) and cloned into pBIN-sgR-Cas9-OsU3 vector as described previously (Mao et al., 2013). The resulting construct was stably transformed to rice via *A. tumefaciens* as reported (Hiei and Komari, 2008).

4.2. Plant growth conditions

The rice (*Oryza sativa*) elite varieties 9815B, JIAODA138, and HUAIDAO1055 commonly cultured in Shanghai and Jiangsu province, China, were obtained from our seed library. *SD1*-targeted editing lines from T_0 – T_4 generations, including 9815B^{*SD1*}, JIAODA138^{*SD1*}, and HUAIDAO1055^{*SD1*}, and their corresponding wild types were grown in the paddy field of Shanghai Jiao Tong University (30°N, 121°E), Shanghai, China, under natural rice-growing conditions.

4.3. Plant DNA extraction

Genomic DNA from CRISPR/Cas9-generated rice mutants and wild-type tissues was extracted as previously described (Murray and Thompson, 1980). Rice tissues (mainly leaf tissues) were ground using mortar and pestle in the presence of liquid nitrogen, then incubated with $1.5 \times$ lysis buffer cetyl trimethylammonium bromide (CTAB) and RNase for 60 min at 65 °C and centrifuged at 10,625 (12,000 rpm) for 10 min. The upper phase (liquid) was collected and extracted again with phenol:chloroform and trichloromethane. The genomic DNA was precipitated by adding of

isopropyl alcohol to the supernatant followed by centrifugation at 10,625 (12,000 rpm) for 5 min. DNA pellets were washed twice with 70% ethanol and dissolved in ddH₂O. The qualities and quantities of extracted genomic DNA were measured and evaluated using both the NanoDrop 1000 UV/vis Spectrophotometer (NanoDrop Technologies Inc., Wilmington, DE, USA) by OD260/OD280 and OD260/OD230 and the electrophoresis on 1% (w/v) agarose gel in $0.5 \times$ Tris/Borate/EDTA (TBE) with GelRed staining. All purified genomic DNA was stored at –20 °C until used for analysis.

4.4. Genomic DNA amplification

The specific primers (Table S1) were used to amplify *SD1* target, and each PCR reaction mixture (20 μ L) contained $1 \times$ PCR buffer, $1 \times$ Q-solution (Qiagen, Germany), 0.2 μ M dNTPs, 5 μ M primer, 1 unit of HotStarTaq DNA Polymerase (Qiagen), and 60 ng of genomic DNA. The PCR program was initiated by heating at 95 °C for 15 min followed by 35 cycles of amplification at 94 °C for 30 s, 55 °C for 30 s, 72 °C for 45 s, and a final step at 72 °C for 10 min. The amplified PCR fragments were sequenced directly or cloned into the pEASY-Blunt vector (Transgen Biotech, Shanghai, China) and then sequenced for genotype identification by Sanger method.

Additional specific primers (Table S1) were used to amplify exogenous and vector backbone elements in a 25- μ L PCR reaction mixture containing 10 mM Tris-HCl (pH 8.3), 50 mM KCl, 1.5 mM MgCl₂, 0.2 mM dNTPs, 0.2 μ M primer, 1.25 units of Taq DNA Polymerase (TaKaRa Biotechnology Co., Ltd., Japan), and 60 ng of genomic DNA. The PCR program was initiated by heating at 94 °C for 5 min, followed by 35 cycles of amplification at 94 °C for 30 s, 58 °C for 30 s, 72 °C for 30 s, and a final step at 72 °C for 7 min. The PCR products were investigated on 2% agarose gel.

4.5. Plant height and yield

Plant heights (cm) of each mutant line and the corresponding wild type were measured from the highest panicle to the ground surface at mature stage (10 individual plants each genotype). Grain weights (g) of each mutant line and the corresponding wild type were weighed from all filled grains of each plant (10 individual plants each genotype). All numerical data presented here were expressed as the means \pm standard deviation (SD) of the mean. Statistical analysis was carried out to compare the plant height (cm) and yields (g) with all individuals using Excel (2016). Mean comparison was carried out using XLSTAT 2018 software (a complete statistical add-in for Microsoft Excel), and the significant difference of two means was determined at $P < 0.01$.

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S ... **a B** ... **a**: Investigation, Visualization, Writing - original draft. **J a T a** ... **T a**: Investigation, Mythology, Visualization. **R ... L** ... **L**: Investigation, Methodology. **X a r e C e** ... **e C e**: Investigation, Visualization. **Z ... L** ... **L**: Investigation, Visualization. **M ... a C e** ... **C e**: Investigation, Visualization. **X a a Z a** ... **a Z a**: Conceptualization, Writing - review. **D a b ... Z a** ... **Z a**: Funding acquisition, Project administration, Resources. **S a a P e ...** ... **a P e** ... **P e**: Funding acquisition, Resources, Writing - review & editing. **Z e Y a** ... **Y a**: Conceptualization, Funding acquisition, Project administration, Writing - review & editing. **J a S** ... **S**: Conceptualization, Funding acquisition, Project administration, Writing - review & editing.

Author contributions

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Supplementary data

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